

# Caragonne and Associates, LLC.

Technical Information Services  
Life Care Planning

Alternative Designs for Access  
Case Management

## PENELOPE CARAGONNE, PH.D. CURRICULUM VITAE

### EDUCATIONAL BACKGROUND

Southeast Missouri State University. Cape Girardeau, MO. 1968. Bachelor of Science, Psychology.

University of Houston. Houston, TX. 1972. Master of Science, Social Work.

University of Texas at Austin, Austin, TX. 1980. Doctor of Philosophy in Social Work. Dissertation Topic: An Analysis of the Function of the Case Manager in Four Mental Health Social Service Settings.

Commission on Health Care Certification. Midlothian, VA. May, 1996. Life Care Planning Certificate. #11

Commission on Health Care Re-Certification for Life Care Planning. Midlothian, VA. #1 May 1999, #2 November 2002, #3 March 2006, and #4 November 2010. Current Life Care Plan Certification Renewal Certificate valid through November 2015.

National Commissioner and Board of Directors, Certified Life Care Planning (CLCP). International Commission on Health Care Certification. Midlothian, VA. March 2014 to Present.

### PROFESSIONAL EXPERIENCE

Caragonne and Associates, LLC. Laredo, TX and Ajijic, Jalisco, MX, President. Caragonne and Associates provides comprehensive long-term planning and technology services for persons with disabilities. Four services are offered:

**Life Care Planning Services** Caragonne and Associates, LLC, creates life care plans (“LCPs”) that identify and document all services needed by a person with disabilities. An evidence-based planning process is used. The LCP also portrays the lifetime costs of all services recommended through a set of detailed Cost Charts. All plans are developed with direct participation and involvement of the consumer’s treating specialists, therapists, educators, and family members, all persons germane to the consumer’s safety, rehabilitation, longevity, and independence. When working for a law firm representing the defendant, a request is always made to interview the claimant and

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US/Mexico Office: 1-866-285-0665  
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Website: www.caragonne.com

Caragonne and Associates, LLC. Mexico Location  
79 Calle Hidalgo, Ajijic, 45920 Jalisco, MX  
Mexico Telephone 011-52-376-0002  
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complete a home visit. Plan development rests on both clinical and data-based planning processes.

*Services Provided in Latin America and Mexico* Since relocating to Mexico, increasing numbers of requests have been made by US attorneys for services that research the cost of services for an LCP applicable to either a Mexican or Latin American claimant. Services are provided that convert US-based life care plans into the prices for services recommended as confirmed in the currency of the specific Latin American country region or region in Mexico. All services in the country of origin for the claimant are individually researched and their price determined. Vendor bids are obtained when possible. Should services recommended prove unavailable in the country in questions, shipment into the country of origin for the claimant is calculated as are freight, tax, and customs charges. All identified costs are converted into the US dollar at the current conversion rate. Costs determined for personnel services are further buttressed through searches of relevant labor databases for the specific country. Life expectancy charts can be provided for use by forensic economists as can other data related to inflation rates for the country in question. In all cases, independent of the country, the sections of a Life Care Plan conform to LCPs developed in the United States. If requested, the methodology relied upon by the opposing expert is analyzed against the dimensions of relevance, reliability, validity, and consistency. The sections for an LCP at Caragonne and Associates, LLC include:

*A LCP Narrative* summarizes the circumstances of the injury, a history of acute and post-acute hospital services, rehabilitation services, a social, educational, and work history, pre and post injury functioning, current service providers, and all functional obstacles that result from the injury. A Medical Chronology can also be developed, if requested, which details each provider of service, the date of contacts, and a summary of the service contact.

*A Methodology Section* portrays dates of referral and work requested, all materials received and reviewed by date, interviews held by date, participants involved and their conclusions. All medical records cited in the narrative are cross-referenced through use of Bates numbers. Each recommendation made is supported through relevant evidence-based citations from peer-reviewed literature. Standards followed in plan development are identified.

*A Clinical Observations and Home Visit Section* identifies all barriers to access in the home, all equipment, supplies, adaptive transportation, prescribed medications, wheelchairs and other mobility devices relied upon, architectural modifications completed, and clinical functioning of the individual and their family members. Services provided since the injury and resources needed but unavailable. Needs for case management are described and a monthly level of case management hours assigned. The model of case management recommended at Caragonne and Associates orchestrates activities of all providers--independent of auspice--so that the plan is periodically updated; gaps, disruptions and discontinuities in service are eliminated; resources

located at the point they are needed; and health, safety, and community functioning ensured. Needs for attendant and/or nursing support are objectively documented. Future risks to health and medical complications attendant to the disability are defined with appropriate medical consultation.

*A Recommendations Section* describes all resources and the rationale for their use. Detailed recommendations are advanced for all services required and the medical foundation for each. Case management activities required to identify, secure, locate and retain needed services over the life of the consumer are portrayed. The timing and costs for updating the Life Care Plan and for developing a Special Needs Trust defined.

*Cost Charts* define all life-long financial resources required by the person. The first Cost Charts section organizes resources into sub-sections: medical, therapies, community residential supports, laboratory studies, adapted transportation, architectural modifications, etc. Within each, individual services are catalogued as to item, purpose, evidence-base, timing, start and stop dates, a representative provider from which the service can be secured, unit and annualized costs, or, one-time only costs. A one-page *Summary Lifetime Totals Cost Chart* is developed, organized by category and age ranges for use of different resources.

**Case Management and Plan Implementation Services** A model of face-to-face, in-home assessment informs implementation of case management and assistive technology services at Caragonne and Associates, LLC. The model combines joint use of case management and assistive technology to ensure the greatest possible level of independence for the person. The individual is assisted to identify, secure and remain connected to all resources needed and remain in the least restrictive living environment possible. Follow-up, advocacy and coordination ensure that problems in service delivery are identified before they interrupt services, and, makes certain all providers operate in unison at the point the client needs them, and, services delivered appropriate to the consumer's needs, wants, preferences and values. Services are priced competitively to prevent cost over-runs and duplication, to ensure better use of funds available. Caragonne and Associates' also evaluates on-site the quality of services provided. Since 2000, Caragonne and Associates, LLC continues to receive requests from firms representing the plaintiff requesting development of a Life Care Plan. Caragonne and Associates, LLC has also received increasing numbers of requests to convert US-based life care plans into the services and currency of Mexican, Latin American, and South American countries.

**Technical Information Services (TIS)** TIS at Caragonne and Associates, LLC, designs both workshops and applied research studies in aspects of long term planning, program design and program evaluation. We also develop technical supports, training and workshops for life care planners. Selected product lines are:

**The Leisure and Learn Workshops** Mini-conferences held to date include the first Leisure and Learn Conference, a five-day Ajijic, Mexico-based conference held February 2009 and attended by United States life care planning experts. The Second Leisure and Learn Workshop was held April 23 - April 27, 2010. The Third Annual Leisure and Learn Workshop was held February 23-26, 2011, again in Ajijic, Jalisco, MX, the Fourth Annual Leisure and Learn Workshop March 14 to March 17, 2013 and the Fifth Annual Leisure and Learn Workshop on March 5 to March 9, 2014. All workshop materials were designed by Caragonne and Associates, LLC. The 2013 and 2014 workshop programs can be viewed at the Caragonne and Associates website, [www.caragonne.com](http://www.caragonne.com).

**The LCP Toolkit** Caragonne and Associates, LLC designed and distributes the LCP Toolkit at no cost to life care planners attending the Leisure and Learn conferences. The Toolkit is a compendium of peer-reviewed research documents relevant to life care planners, automated Life Care Planning data collection forms designed by Caragonne and Associates, LLC, research studies, statistical abstracts, peer-reviewed literature, and extensive “live links” to relevant on-line databases. The 2008 and 2009 versions of the “Life Care Planning Toolkit,” were comprised of a DVD containing 3,000 research abstracts of use to life care planners. The 2010 LCP Toolkit contained over 3,500 peer-reviewed abstracts, automated data collection forms, and “live” links to relevant research and cost databases used by life care planners. The Toolkit was designed to be used by life care planners to support development of valid, reliable and evidence-based Life Care Plans. The DVD now contains over 7,500 curated abstracts of peer-reviewed literature as of January 2014.

This LCP Toolkit was first presented at a pre-conference for the International Association of Life Care Planners (“IARP”) Annual Forensic Conference October 2007 in Las Vegas, NV. The 2008 version was distributed at the IARP Annual Forensic Conference October 2008 in Ft. Lauderdale, FL. This Toolkit was also distributed at the first annual Leisure and Learn conference held in February 2009 in Ajijic, Jalisco, MX, the second at the March 2010 Leisure and Learn, the third distributed at the Third Annual Leisure and Learn Conference in 2011, the fourth in Ajijic, Jalisco, MX in 2013, the fifth which was distributed at the Leisure and Learn Workshop in March 2014, and the sixth LCP Toolkit, distributed at the 2015 Leisure and Learn Workshop in Ajijic, Jalisco, MX.

**The Quality Assurance Inventory** The Quality Assurance Inventory (“QAI”) was a joint project with participants from the first Leisure and Learn Conference. This project has resulted in completion of a 100 point Quality Assurance Inventory for use during analysis and evaluation of Life Care Plans. The form has now been automated for use by life care planners.

**The LCP Standards Framework** The first outcomes--not process-oriented--Quality Assurance and Standards Framework for Life Care Planning was designed in 2000 to assist planners in evaluating the quality of their work. This

framework was formally distributed in 2000. The framework emphasized use of critical thinking skills to evaluate a life care plan against Daubert/Kumho standards for admission of non-scientific testimony. By using the framework, planners can assess the underlying logic and foundational adequacy of the Life Care Plans they develop, or, evaluate the logic and adequacy of methods used by opposing experts' whose plans they have been asked to evaluate.

**Workshops on Evidence-Based Research** The model of plan investigation and development taught by Caragonne and Associates, LLC, focuses on need for life care planners to identify and cite all publications or sources which form an evidence-based foundation for their work. This conceptual framework relies heavily on a model of trans-disciplinary collaboration supplemented by extensive use of peer-reviewed literature to inform recommendations made.

**Workshops on the "21<sup>st</sup>" Century Office** A workshop has been designed and implemented which teaches the techniques and technology associated with design and installation of a portable, productive and cost-effective "21<sup>st</sup> century" office. This session was held during the first Leisure and Learn workshop in February 2009; the IARP Annual Forensic Conference November 2008; the second Leisure and Learn Workshop in 2009; the third annual Leisure and Learn Workshop in 2010; the 2011 American Association of Nurse Life Care Planners Annual Conference, the 2011 International Association of Rehabilitation Annual Forensic conference, the 2013 Leisure and Learn conference, the 2013 International Symposium of Life Care Planning Conference, and the 2013 American Association of Nurse Life Care Planners Annual Conference in Philadelphia, PA.

**2010 Determining Economic Damages: Data-based Life Care Planning in a "Portable, Paperless" Work Environment** Past writing projects have included a chapter on teaching attorneys how to identify an ineffective or suspect Life Care Plan. This chapter--The Red Flags Concept--was first published in Determining Economic Damages ("DED"), edited by Gerald Martin, Ph.D. A second chapter, recently completed for the latest DED, focuses on how Caragonne and Associates, LLC switched in 2005 to a "portable paperless" office, and, how a data-based planning approach is used within the context of this office setting. This article was completed April 2010 for incorporation in the latest edition of the DED.

**Alternative Designs for Access (ADA) / Workshops on Assistive Technology** This division of Caragonne and Associates, LLC, provides comprehensive technology consulting services and workshops in the area of architectural access and job-site modification. The following range of services is available: direct assistive technology prescription, ordering, installation, and followup training in assistive technology services to family members and individuals with disabilities; design and installation of architectural modifications to homes, work sites, public agencies, and assisted living facilities to assure access by persons with disabilities; training workshops in prescription,

application and use of assistive technology; education in all phases of the technology, and, identification, prescription, and use of assistive technology in the service delivery process.

**Technical Consultation on the American with Disabilities Act** This sector of Caragonne and Associates, LLC provides technical consultation to individuals, families, educational settings, government agencies, private employers and hospitals on compliance with aspects of the Americans with Disabilities Act and with the accreditation standards mandated by the Commission on the Accreditation of Rehabilitation Facilities.

**Work Site Modification Services** Over 300 task analyses and subsequent work site modifications have been completed at Caragonne to ensure return to work for persons with disabilities. In this process, individualized technology assessments are completed to adapt and/or modify educational, home, leisure and work sites. ADA works with issues of access to employment, fabricates one-of-a-kind access methods designed to fit a particular consumer's needs, and, develops and implements housing modification services.

**Mexico Labor Market Analysis Services** This aspect of Caragonne and Associates, LLC completes wage and salary analyses for different sectors of the Mexican labor market. Labor market surveys with Mexican employers are also conducted.

## **RESEARCH AND CONSULTING**

The following listing illustrates the range of consulting and research performed prior to the 1992 formation of Caragonne and Associates, LLC:

**Ellis and Associates, Chicago, IL., Curriculum Development and Company-wide Life Care Planning** Contractual responsibilities: Completed all corporate-wide long-term Life Care Plans generated by Ellis and Associates between May 91 and May 92. Developed The Life Care/Long-Term Planning Curriculum for use by the Director of Medical Case Management Services, Ellis and Associates, Chicago Corporate Office. Developed Training Plan for systems-wide training of staff in long term life care planning processes.

**Office of Planning, Program and Evaluation, Office of Human Development Services, Department of Health and Human Services, (OHDS) Washington, DC, and, Department of Education, Office of Special Education, National Institute for Disability Rehabilitation Research.** Continuation of work as peer reviewer for the Office of Human Development Services to evaluate grants submitted as part of OHDS nation-wide Coordinated Discretionary Funds Program Initiative.

**Impact Independent Living Center, Alton, IL. Strategic Planning Project.** Consulting work focused on assessing the technology needs of persons served by Impact. Research included completion of focus groups with eight separate consumer

groups to identify the following: barriers to delivery of technology services, technology needs of consumers with disabilities, factors that influence assistive device selection and use, and policy and provider factors influencing receipt and use of technology services. Research report summarized research and staffing and service delivery guidelines for development of a technology service delivery project at Impact.

**Connecticut Rehabilitation Engineering Center**, Glastonbury, CT. Five Year National Demonstration Grant. Principal Investigator and Project Director. Directed operation of five year **\$575,000** per annum national research and demonstration grant funded by National Institute for Disability and Rehabilitation Research, (“NIDRR”), Washington, D.C. to increase technology delivery capacity of New England private and public service providers. Research and demonstration projects developed in: Blind/low vision technology for education and work, assistive technology in pre-school to secondary educational settings, assistive technology and architectural modifications for independence and home access, work site modification for employment, a no-cost accessible public domain software collection for persons with disabilities and the statewide Connecticut teaching system, Vocational Rehabilitation counselors, training to directors and staff of the statewide Independent Living Centers, funding of an independent living center in New Haven, CT, focus Group research to identify consumer preferences for technology prescription, distribution, training and use, a mobile technology workshop for fabricating technology adaptations on-site throughout Connecticut, and development of Quality Assurance Frameworks to use in assistive technology prescription and adoption to ensure that assessment, planning, design, fitting, training, and repair processes were adopted. Model service delivery projects developed included:

- An on-site technology service delivery unit funded within the New Haven Center for Independent Living center to increase independent living skills of persons with disabilities.
- Research and consulting services to eight Vocational Rehabilitation offices within Connecticut to study the scope and extent of assistive technology use by vocational counselors in Connecticut.
- Training for Vocational Rehabilitation staff in use of assistive technology as a support to securing employment for persons with disabilities.
- K-12 projects in Connecticut elementary schools to train teachers in identifying need for assistive technology, assessing needs for technology, prescription and securing assistive technology for vision, communication, and mobility adaptations.
- Development and funding of an Adapted Computer Laboratory for disabled students at Southern Connecticut State University in New Haven, CT to teach adapted computing for greater access to education.
- Joint curriculum development with Baruch City College of New York, Center for Adapted Computing Services for Visually Impaired.
- Statewide and regional task forces to identify technology needs of persons with various types of disabilities.
- Research studies, curricula and/or workshops for private employers, school teachers and counselors, parent-advocacy groups, disability rights groups, educators, and medical personnel.

**Case Management Research, Austin, TX.** Case Management Services offered consultation to state and local agencies implementing system-wide case management services. Provided the following:

- Technical assistance to state agencies implementing statewide case management services.
- Applied baseline research prior to system redesign efforts.
- Task force development in Texas, Connecticut, Mississippi, and Georgia to implement statewide case management.
- Curriculum design for training line and supervisory staff.
- Development of quality assurance frameworks to assess operation of statewide case management.
- Capitated budget methodologies.
- Design and implementation of staff training workshops.
- Information requirements analysis and report development.
- Specifications for agency-wide integrated information system.
- Design of protocols to assign low, medium, and high case management levels.

Consulting and research services provided to agencies implementing system-wide case management services. The list is partial:

- Texas Department of Mental Health and Mental Retardation, Austin, TX.
- Arizona Department of Economic Security, Phoenix, AZ.
- Arizona Governor's Planning Council on Developmental Disability, Phoenix, AZ.
- Chicano Family Center, Houston, TX. Tasks also included development of long-term strategic plan, design Hispanic Early Family Intervention / Education and Support Project, project implementation, design of client assessment interviews, quality review of individual cases, supervision to direct service workers. Design of agency-wide quality assurance framework for use within substance abuse and family services.
- Connecticut Department of Mental Health, Hartford, CT.
- Georgia Department of Human Resources, Office of Developmental Disabilities, Atlanta, GA.
- Georgia Department of Human Resources, Department of Mental Health, Atlanta, GA.
- Mecklenburg County Human Services, Charlotte, NC. Tasks also included review of agency operation and information needs in juvenile probation, child welfare, mental health, and design of county-wide quality assurance component.
- National Council of Community Mental Health Centers, Bethesda, MD. Technical assistance through Council's Technical Assistance Project funded by the National Institute of Mental Health, Bethesda, MD.
- Northampton County Human Services, Easton, PA.

- Office of Human Development Services, Department of Health and Human Services, Washington, DC. Faculty for National Invitational Conference on Services Integration, Washington, DC.

## **DOCTORAL STUDIES**

### **SCHOOL OF SOCIAL WORK, UNIVERSITY OF TEXAS, AUSTIN, TX.**

Completed course work with doctoral degree awarded August 1980. Employed part-time at Center for Social Work Research, School of Social Work, Austin, TX.

Dissertation research involved an analysis of four national case management settings serving persons with chronic mental illness. Design of all data collection protocols, on-site face-to-face data collection, and analysis of all data collected.

Jointly designed successful application for funding from the Office of Human Development Services, Office of Planning, Review and Evaluation Washington, D.C. Director and Principal Investigator responsible for completion of a federally funded three year 522 person case management study. Completed national comparative study of case management in twenty-two service settings: child welfare, developmental delay and cognitive impairment, physical disabilities, chronic mental illness, elderly, and multi-service agencies.

## **EXPERIENCE AND EMPLOYMENT**

Harris County Child Welfare, Houston, TX. Supervisor. Responsible for protective services unit investigating child abuse and neglect. Supervised eight (8) case managers investigating sexual and physical abuse and neglect. Designed and implemented unit restructuring project and achieved five objectives: 1) tripled response time for completion of abuse and neglect investigations, 2) reduced unit employee turnover by 65%, 3) doubled program productivity for completing abuse/neglect investigations, 4) tripled numbers of persons served in family counseling, and 5) reduced unit operating costs by 30%.

**Austin State School and Harris County Mental Health/Mental Retardation Authority (MHMRA)**, Houston, TX. Coordinator and Director of first county-wide Service/Referral Office for persons with mental retardation, completed design of assessment methods for persons within program..

**Jointly Funded Program MHMRA and Austin State School.** Program involved assessment of long-term needs, long-term discharge planning, implementation of community care plan, and counseling with individuals released into Houston-Harris County area from Austin State School.

**Baylor College of Medicine, Psychology Department, Houston, TX. Research Assistant.** Responsible for experimental procedures and data analysis in behavior modification program for drinking disorders.

**Cape Girardeau County Welfare, Cape Girardeau, MO. Case Manager.**

Responsible for protective services to 225 elderly disabled and non-disabled adults. Provided intake assessment interviews, eligibility determination, long-term planning, service delivery, and intensive bi-monthly social services to 100 most-in-need persons.

### **FUND-RAISING AND GRANT WRITING**

- Secured three year **\$200,000** survey research grant from the Office of Human Development Services, Department of Health and Human Services, Washington, DC.
- Secured **\$125,000** per annum in funds from the Texas Commission on Alcohol and Drug Abuse, Austin, TX, and the Hogg Foundation for Mental Health for Chicano Family Center, Houston, TX.
- Secured **\$120,000** in funds for the Texas Department of Mental Health and Mental Retardation from the Hogg and Meadows Foundation, Austin and Ft. Worth, TX. Design, testing, and implementation of a PC-based case management information system.
- Increased 1988 grant award from the National Institute for Rehabilitation and Research (NIDRR), Washington, DC from an initial award level of **\$250,000** per year to **\$550,000** per year for the Connecticut Rehabilitation Engineering Center for four year period.
- Secured total of **\$180,000** from New Haven Foundation, New Haven, CT; the Hartford Foundation, Hartford, CT; and Dole Foundation, Washington, DC.
- Consultation to University of Missouri at Kansas City for successful **\$2.4** million statewide systems development grant to National Institute of Disability and Rehabilitation Research, Washington, DC.

### **FIELD PLACEMENTS AND SUPERVISION OF DOCTORAL AND MASTERS LEVEL STUDENTS**

- Instructor. Worden School of Social Work. San Antonio, TX. 1988.
- Field Instructor. Department of Educational Psychology, University of Texas at Austin. Austin, TX. 1980-1982.
- Field Instructor. School of Social Work, University of Connecticut. Storrs, CT. 1989-90.
- Content Expert, Doctor of Nursing Practice Candidate, University of Utah College of Nursing, Salt Lake City, UT. 2014.

### **WORKSHOP PRESENTATIONS OFFERED IN ASSISTIVE TECHNOLOGY, CASE MANAGEMENT AND LIFE CARE PLANNING**

Workshops and/or key-note presentations developed and implemented in:

- Case Management Theory and Practice

- Clinical Issues in Case Management Service Delivery
- Implementation Structures in Case Management Systems Development
- Technology Prescription, Consumer Training, and Service Delivery Processes
- Design and Use of Quality Assurance Frameworks in Long-Term Planning and Case Management
- Design, Use and Monitoring of Quality Assurance Frameworks in Technology Delivery Systems
- Design and Development of Case Management Form Sets for Collecting Information on Operation of Case Management Systems
- Design, Development and Management of Consumer-Responsive Service Delivery Systems
- Device Selection Criteria in Technology for Persons with Blindness, Visual Impairment, Deafness and Hearing Impairment, Manual and Power Mobility Needs, Augmentative Communication Needs, and Changing Technology Needs
- Life Care Planning Processes and Methods
- Interface Between Vocational and Economic Disciplines and the Use of Life Care Planning Processes and Methods
- Abandoning the Use of the Hedonic Damages Concept
- The Concept of Lost Household Services

## **CONTINUING EDUCATION AND TRAINING RECEIVED IN LIFE CARE PLANNING**

**Certification #11 and Recertification Activities.** Awarded April 1996 by the Commission on Health Care Certification, Midlothian, VA. Credential awarded after 160 hours of training and certification examination. Re-certification in April 1999, November 2002, March 2006, and March 2010. Credential renewed after documenting scholarly research papers, workshops attended, and workshops conducted during the four year period from 1996 to 2011. Credential renewed through 2015.

**Certificate of Completion of Course of Study in Life Care Planning.** Awarded May 1995, sponsored by the University of Florida, Department of Rehabilitation Counseling. Award of the Life Care Planning certificate documented completion of the following 160 hours of course work:

**Track 1: Advanced Life Care Planning for Catastrophic Case Management.**

Rehabilitation Training Institute. Atlanta, Georgia. October 29 - November 1, 1993.

**Track 2: Advanced Life Care Planning.** Rehabilitation Training Institute. Chicago, IL. February 26 - 28, 1995.

**Track 3: Advanced Life Care Planning. The Role of the Legal Nurse Consultant.** Rehabilitation Training Institute. New Orleans, LA. April 5 - 7, 1995.

**Track 4: Advanced Life Care Planning for Adults with Traumatic Brain Injury.** Rehabilitation Training Institute. Baltimore, Maryland. August 5, 1994 - August 7, 1994.

**Track 5: Advanced Life Care Planning for Spinal Cord Injury.** Rehabilitation Training Institute. Atlanta, GA. November 2 - November 4, 1994.

**Track 6: Advanced Life Care Planning for Pediatric Brain Injury.** Rehabilitation Training Institute. Kansas City, MO. January 26 - 28, 1995.

**Track 7: Advanced Life Care Planning for Orthopedic Impairments.** Rehabilitation Training Institute. Orlando, FL. May 22 - 24, 1995.

**Track 8: Advanced Life Care Planning Practices.** Rehabilitation Training Institute. Orlando, FL. May 25 - 26, 1995.

**Refresher Course in Life Care Planning.** Rehabilitation Training Institute. Atlanta, GA. March 19, 1996.

#### **OTHER TRAINING RECEIVED**

The following training has been received:

**Liberator Augmentative Communication Device Training.** Prentke-Romich Company. St. Louis, MO. September 12 - September 13, 1994.

#### **Rehabilitation Engineering Society of North America Annual Conference.**

Assistive Technology Credentialing Review Course. Salt Lake City, UT. June 8 - 11, 1996. Curriculum received:

Characteristics of Environmental Control Technologies

Characteristics of Sensory Technologies

Characteristics of Augmentative Communication Technologies

Characteristics of Computer Access Technologies

Characteristics of Job Accommodation and Transportation Technologies

Characteristics of Seating and Mobility Technologies

Clinical Fundamentals of Technology

Professional Conduct

Controversial Issues in Sitting Posture

Functional Electrical Stimulation (FES)

Clinical Considerations in the Selection of Wheelchair Backs, and

Technological Interventions in the Nursing Home

**Third Annual Life Care Planning Conference.** Intelicus/International Academy of Life Care Planners, Atlanta, GA. November 20 - 21, 1998.

**Fourth Annual Life Care Planning Conference.** Intelicus/International Academy of Life Care Planners, New Orleans, LA. November 5 - 6, 1999.

**Life Care Planning Summit 2000.** International Association of Rehabilitation Professionals in the Private Sector Dallas, TX. April 12, 2000.

**Forensic Workshop.** International Association of Rehabilitation Professionals. Las Vegas, NV. December 1 - 2, 2000.

**American Rehabilitation Economics Association**, Annual Conference. May 17-20, 2000.

**American Rehabilitation Economics Association**, Annual Conference. May 15, 2001. Reno, NV.

**American Rehabilitation Economics Association**, Annual Conference. May 15, 2002. Reno, NV.

**American Rehabilitation Economics Association**, Annual Conference. May 15, 2003. Ft. Worth, TX.

**National Association of Forensic Economists**. Regional Meeting. October 14 - 15, 2004. Memphis, TN.

**WILG Conference**. Washington, DC. April 10 - 12, 2005, Washington, DC.

**American Rehabilitation Economics Association**, Annual Conference. May 13 - 15, 2005. Reno, NV.

**Rehabilitation Engineering Society of North America**, Annual Conference. June 24 - 27, 2005, Atlanta, GA.

**Congress of Life Care Planning**. Standards Development. Capital University Law School/Commission on Health Care Certification. March 21 - 24, 2007, Columbus, OH.

**International Association of Rehabilitation Professionals**, Annual Forensic Conference. November 1 - 3, 2007, Las Vegas, NV.

**American Rehabilitation Economics Association**, Annual Conference. May 15 - 17, 2008. Pittsburgh, PA.

**International Association of Rehabilitation Professionals**, Annual Forensic Conference. October 31 - November 3, 2008, Ft. Lauderdale, FL.

**American Board of Vocational Experts**, Annual Forensic Conference. February 2010, San Diego, CA.

**American Rehabilitation Economics Association**, Annual Conference. June 16-18, 2010, Chicago, IL.

**American Association of Nurse Life Care Planners**, Annual Conference. October 7 - 11, 2010, Boston, MA.

**International Association of Rehabilitation Professionals**, Annual Forensic Conference. November 4 - November 8, 2010. New Orleans, LA.

**American Association of Nurse Life Care Planners, Annual Conference.** October 21 - October 24, 2011, Kansas City, MO.

**International Symposium of Life Care Planners.** September 27 - 29, 2013. Atlanta, GA.

**American Association of Nurse Life Care Planners, Annual Conference.** November 6 - 12, 2013, Philadelphia, PA.

## **TEACHING WORKSHOPS PROVIDED**

**Faculty. National Invitational Conference on Services Integration.** Department of Health and Human Services. Office of Human Development Services, Washington, DC. 1986.

**Instructor. Pre-Institute on Case Management: Issues in Mental Health Systems Design.** National 1988 Annual Conference of Community Mental Centers. Boston, MA. 1988.

**Instructor. The Misapplication of the Berla Scale in Quantifying Hedonic Damages: A Case Management Perspective.** Special Issues in Harm Analysis. Midwest Economics Association. Indianapolis, IN. April 2, 1993.

**Instructor. The Interface Between a Forensic Economist and a Vocational Expert in a Personal Injury Case.** Problems in Forensic Economics. Missouri Valley Economics Association, St. Louis, MO. February 25, 1994.

**Panel Member. Hedonic Damage Estimates in Personal Injury Analysis: Are the Benchmarks Meaningless?** Problems in Forensic Economics. Midwest Economics Association. Chicago, IL. March 25, 1994.

**Instructor. Issues in Developing and Maintaining a Competitive Approach to Practice.** National Association of Rehabilitation Professionals in the Private Sector. Annual National Conference. Chicago, IL. April 9, 1994.

**Instructor. An Interdisciplinary Approach to A Personal Injury Loss: A Case Study.** Western Economic Association. Annual Meeting. Vancouver, British Columbia. June 30, 1994.

**Keynote Speaker. The Use of Life Care Planning.** American Association of Legal Nurse Consultants. Keynote Address: Midwest Region Conference. St. Louis Missouri: October 7, 1994.

**Instructor. Long-Term Life Care Planning for Jason Smith: A Child's Disabling Injury.** Missouri Valley Economic Association. Annual Meeting. Kansas City, Mo. February 24, 1995.

**Instructor. Life Care Planning/Conceptual Frameworks, Methods and Processes.** Blue Cross/Blue Shield Training Conference. Blue Cross/Blue Shield. Kansas, MO. June 7, 1995.

**Instructor. Medical Malpractice and the Law.** Continuing Legal Education. University of Missouri/Kansas City. St. Louis, MO. September 15, 1995.

**Instructor. Life Care Planning for Catastrophic Injury.** Continuing Legal Education. University of Missouri/Kansas City. St. Louis, MO. November 30, 1995.

**Instructor. The Need to Abandon the Concept of Hedonic Damages.** Missouri Valley Economic Association. Annual Meeting. Memphis, TN. March 7-9, 1996.

**Instructor. Advancing the Concept of Loss of Ability to Perform Usual Functions of Life.** Midwest Economics Association. Chicago, IL. March 21-23, 1996.

**Instructor. Assessing Lost Functional Capabilities.** Missouri Valley Economic Association, Annual Meeting. St. Louis, MO. February 27-28, 1997.

**Instructor. Quality of Life Issues in Damage Assessments.** Western Economic Association. Annual Meeting. Seattle, WA. July 10-13, 1997.

**Instructor. The Role of the Assistive Technologist.** Western Economics Association. Annual Meeting. Seattle, WA. July 10-13, 1997.

**Panel Member. The Use of the Concept of Lost Household Services.** Western Economics Association. Annual Meeting. Lake Tahoe, NE. June 29, 1998.

**Instructor. Use of Technology for Persons with Disability.** Southwest Cerebral Palsy Association. Edwardsville, IL. September 24, 1998.

**Panel Member. The Interface Between a Life Care Planner and a Forensic Economist.** International Atlantic Economic Society. Montreal, Canada. October 10, 1999.

**Instructor. An Analysis of Studies Using the Whole-Time Concept: Peer Review of the Dollar Value of a Day Database and the National Human Activity Studies Database.** Southeast Economic Association, New Orleans, LA. November 22, 1999.

**Instructor. The Life Care Planner's Role in Working with Economists in Civil Litigation** Missouri Valley Economics Association, St. Louis, MO. February 25, 2000.

**Instructor. Minimal Standards for Life Care Development: Use of Quality Assurance Framework.** Annual Meeting: American Board of Disability Analysts. Pensacola, FL. February 26, 2000.

**Panel Member. Cross-Disciplinary Perspective and Issues in Civil Litigation.**  
Annual Meeting: American Academy of Financial and Economic Experts. Las Vegas, NV. April 1, 2000.

**Instructor. The Case Management Model of Intervention: Principles and Evaluative Guidelines for Establishing a Long-Term Case Management Plan.**  
Annual Meeting. American Rehabilitation Economics Association. Reno, NV. May 20, 2000.

**Panel Member. Collaborative Issues: Life Care Planners and Valuation Experts in Civil Litigation.** American Rehabilitation Economics Association. Reno, NV. May 20, 2000.

**Chair. Roundtable on Changing Reports of Related Experts in Damage Analysis.**  
International Atlantic Economic Society. Charleston, South Carolina. October 15, 2000.

**Discussant. Daubert Issues in Time-Series Analysis** by Pickett, JC. International Atlantic Economic Society. Charleston, South Carolina. October 15, 2000.

**Panel Member. Peer Review and Quality Assurance Frameworks for Life Care Planning in a Daubert Context.** Panel Member. International Association of Rehabilitation Professionals. Las Vegas, NV. December 2, 2000.

**Instructor. Peer Review.** International Winter Meeting. National Association of Forensic Economists. Puerto Vallarta, MX. February 21, 2001.

**Faculty. A Standards and Peer Review Framework for Life Care Planning in a Daubert Context.** Advanced Forensic Workshop for Life Care Planners. Intelicus/University of Florida. Orlando, FL. March 23, 2001.

**Instructor. Pre-Conference Workshop on Daubert Issues and Foundation for Testimony.** American Rehabilitation Economics Association. Reno, NV. May 16, 2001.

**Instructor. Evaluating the Work of Life Care Planners: The Red Flag Concept.** American Rehabilitation Economics Association. Reno, NV. May 19, 2001.

**Panel Member. Payment Procedures in a Consulting Practice.** Western Economic Association. San Francisco, CA. July 7, 2001.

**Panel Member. Defense Ethics.** Western Economic Association. San Francisco, CA. July 5, 2001.

**Instructor. Critiquing the Life Care Plans of Opposing Experts: Pre-Conference Seminar.** American Board of Vocational Experts Spring 2002 Conference. Las Vegas, NV. March 14, 2002.

**Instructor. Peer Review in a Daubert Context.** American Rehabilitation Economics Association 2002 Meeting. Reno, NV. March 15, 2002.

**Instructor. The Life Care Planning Process.** Pre-Conference Seminar. American Rehabilitation Economics Association Annual 2003 Meeting. Ft. Worth, TX. May 15, 2003.

**Panel Member. Extending the Red Flags Concept to Vocational Plans.** American Rehabilitation Economics Association Annual Meeting. Ft. Worth, TX. May 16, 2003.

**Panel Member. A Comparative Analysis of Four Educational Venues for Life Care Planning Certification.** National Association of Forensic Economics, Memphis, TN. October 15, 2004.

**Faculty. Research Methods in Life Care Planning.** Capital University College of Law. Columbus, OH. January 7 - 9, 2006.

**Instructor. An Analysis of the Research Basis for the Certification Process in Life Care Planning.** American Rehabilitation Economics Association. Reno, NV. May 14, 2005.

**Pre-Conference Faculty. Research, Reliability and Rules.** International Association of Rehabilitation Professionals. Las Vegas, NV. November 1, 2007.

**Faculty. Conference Host and Curriculum Designer.** First Annual Invitational Leisure and Learn Workshop. Caragonne and Associates, LLC and Capital University Law School. Ajijic, Jalisco, MX. February 13-18, 2008.

**Faculty. Pre-Conference, Life Care Planning Boot Camp,** Annual Meeting, American Rehabilitation Economics Association. Pittsburgh, PA, May 15, 2008.

**Faculty. Designing a Quality Assurance Inventory for Use by Life Care Planners,** International Association of Rehabilitation Professionals. Ft. Lauderdale, FL, October 30, 2008.

**Faculty. Conference Host and Curriculum Designer.** Second Annual Invitational Leisure and Learn Workshop. Caragonne and Associates, LLC, Ajijic, Jalisco, MX. April 23 - 27, 2010.

**Faculty. A Data-Based and Research Approach to Life Care Planning. Bi-Annual Forensic Conference.** American Board of Vocational Experts. San Diego, CA. March 26 - 28, 2010.

**Faculty. Conducting Life Care Planning in Mexican and Latin America.** Annual Conference, American Rehabilitation Economics Association. Chicago, IL. June 17, 2010.

**Faculty. Conducting Life Care Planning in Mexican and Latin America.** Annual Forensic Conference, International Association of Rehabilitation Professionals. New Orleans, LA. November 3, 2010.

**Faculty, Host and Curriculum Designer.** Third Annual Invitational Leisure and Learn Conference, Ajijic, Jalisco, MX. February 23-26, 2011.

**Faculty. International Life Care Planning.** American Board of Vocational Experts. Orlando, FL. March 25 - 28, 2011.

**Co-Faculty. Shelly Kinney, RN, MA, AANLCP. Comparing The CLCP and AANLCP Models of Life Care Planning.** Nebraska International Association of Rehabilitation Professionals. Omaha, NE. April 19, 2011.

**Co-Faculty. Shelly Kinney, RN, MA, AANLCP. Comparing The CLCP and AANLCP Models of Life Care Planning.** Annual Conference. American Association of Nurse Life Care Planners. Kansas City, MO, October 21 - 23, 2011.

**First Test Committee Conference.** International Commission on Health Care Certification (ICHCC). The ICHCC Certified Life Care Planners' Test Committee, Richmond, VA. 2012-2014. International Commission on Health Care Certification. June 2 - 4, 2012. Richmond, VA.

**Faculty, Host and Curriculum Designer.** Fourth Annual Leisure and Learn 2014 Workshop. March 14 - 17, 2013. Ajijic, Jalisco, MX.

**Co-Faculty, Judy Metekingi, RN, MSN, CLCP.** Use of Evidence-Based Life Care Planning. International Symposium on Life Care Planning, Atlanta, GA. September 27 - 29, 2013. Atlanta, GA.

**Co-Faculty, Keith Sofka (ATP, Ret.) and Victoria Powell, RN, AANLCP.** Pre-Conference: Evidence-Based Life Care Planning, Costing Procedures, and Empirical Determination of Attendant Hours Needed by Persons with Disabilities (the DON Protocol). Annual Conference. American Association of Nurse Life Care Planners. Philadelphia, PA. November 7 - 12, 2013.

**Panel. Application of the Nursing Diagnosis in Life Care Planning.** Annual Conference. American Association of Nurse Life Care Planners. Philadelphia, PA. November 7 - 12, 2013.

**Faculty, Host and Curriculum Designer.** Fifth Annual Leisure and Learn 2014 Workshop. March 5 - 10, 2014. Ajijic, Jalisco, MX.

**Faculty. International Life Care Planning.** International Symposium on Life Care Planning. Minneapolis, MN. September 22, 2014.

**Faculty, Host and Curriculum Designer.** Sixth Annual Leisure and Learn 2014 Workshop. March 18 - 22, 2015. Ajijic, Jalisco, MX.

## PUBLICATIONS

- Caragonne, Penelope, M.S.W. A Review and Analysis of Services Integration Settings, **Center for Social Work Research**, University of Texas at Austin. Austin, TX. 1978.
- Caragonne, Penelope, M.S.W. An Annotated Bibliography of Services Integration Literature, **Center for Social Work Research**, University of Texas at Austin. Austin, TX. 1979.
- Caragonne, Penelope, M.S.W. An Annotated Bibliography of Case Management Literature, **Center for Social Work Research**, University of Texas at Austin, Austin, TX. 1980.
- Caragonne, Penelope, M.S.W. A Comparative Analysis of Case Management Work Activity in Twenty-Two Service Settings: Year I: 1979. **Department of Health and Human Services, Office of Human Development Services, Office of Planning, Review and Evaluation**. Washington, DC. 1981.
- Caragonne, Penelope, M.S.W. A Review of the Literature in Bicultural Intervention. **Chicano Training Center**. Houston, TX. 1980.
- Caragonne, Penelope, Ph.D. A Comparative Analysis of Case Management Work Activity in Four Community Support Mental Health Programs. (Dissertation) **University of Texas at Austin, School of Social Work**. Austin, TX. 1980.
- Caragonne, Penelope, Ph.D. A Comparative Analysis of Case Management Work Activity in Twenty-Two Service Settings: Year II: 1980 - 81. **Department of Health and Human Services, Office of Human Development Services, Office of Planning, Review and Evaluation**. Washington, DC. 1981.
- Caragonne, Penelope, Ph.D. Final Report: A Comparative Analysis of Case Management Work Activity in Twenty-Two Service Settings: Years I - III: 1979 - 1982. **Department of Health and Human Services, Office of Human Development Services, Office of Planning, Review and Evaluation**. Washington, DC. 1982.
- Caragonne, Penelope, Ph.D. A Comparison of Case Management Work Activity and Traditional Work Activity in Twenty-Two Mental Health/Mental Retardation Setting within the Texas Department of Mental Health and Mental Retardation. Distributed by the **Texas Department of Mental Health and Mental Retardation**. Austin, TX, 1982.
- Caragonne, Penelope, Ph.D. The Georgia Department of Human Resources Developmental Disability System: Case Management System Evaluation. **Georgia Department of Human Resources, Office of Developmental Disabilities**. Atlanta, GA. 1984.

Caragonne, Penelope, Ph.D. Mental Health Case Management Curricula. Distributed by the **Georgia Department of Human Resources**, Department of Mental Health. Atlanta, GA. 1985.

Caragonne, Penelope, Ph.D. Mental Illness and Substance Abuse: The Dually Diagnosed Client. I: A Critical Literature Review and Analysis of the Literature. **National Community Mental Health Centers**. Bethesda, MD. 1988.

Caragonne, Penelope, Ph.D. Mental Illness and Substance Abuse: The Dually Diagnosed Client: An Annotated Bibliography. **National Community Mental Health Centers**. Bethesda, MD. 1988.

Caragonne, Penelope, Ph.D. Serving the Mentally Ill and Substance Abusing Client: An Analysis of Service Delivery Practices in Fifteen National Mental Health Settings. **National Community Mental Health Centers**. Bethesda, MD. 1988.

Caragonne, Penelope, Ph.D. Planning a Statewide Assistive Technology Service Delivery Program. **Department of Education, Office of Special Education, National Institute on Disability and Rehabilitation Research**. Washington, DC. 1989.

Caragonne, Penelope, Ph.D. Life Care/Long-Term Planning Curriculum and Training Manual. **Ellis and Associates**. Chicago, IL. 1992.

Caragonne, Penelope, Ph.D. A Summary of Focus Group Research and Recommendations for Development of Technology Service Delivery Within Impact, Inc., an Independent Living Center. **Impact Center for Independent Living**. Alton, IL. 1994.

## **PEER-REVIEWED PUBLICATIONS**

Caragonne, Penelope, M.S.W. Services Integration: Where Do We Stand? **Journal of Health and Human Resources Administration**, Volume 1, Number 4, May 1979. Southern Public Administration Foundation, Montgomery, AL.

Caragonne, Penelope, Ph.D. The Use of the Berla Scale in Quantifying Hedonic Damages: A Case Management Perspective. 7 **Journal of Forensic Economics**. December 1993. PP. 47 - 67.

Caragonne, Penelope, Ph.D. The Use of the Berla Scale in Quantifying Hedonic Damages: A Case Management Perspective. Reprinted in the **Defense Law Journal**. December 1994.

Caragonne, Penelope, Ph.D., Sofka, Keith, ATP, Ireland, Thomas R., Ph.D. An Examination of Historical net Discount Rates Relative to Medical Care Costs. **Journal of Legal Economics**. Fall, 2000. 10(2). American Academy of Economic and Financial Experts.

Caragonne, Penelope, Ph.D. The Importance of Reliable Measurement: The Whole-Time Concept and Admissibility of Testimony Under Daubert Standards. **Journal of Forensic Economics**. Winter 2001. 14(1) National Association of Forensic Economics. PP 37 - 51.

Caragonne, Penelope, Ph.D. Evaluating the Work of Life Care Planners: The "Red Flags" Concept. **Determining Economic Damages**. Gerald Martin, Ph.D., Editor. James Publishing Company. Santa Ana, CA. 2001 - 2008 Editions.

Caragonne, Penelope, Ph.D. The Concept of Peer Review: Purpose, Use and Standards. **Scientific versus Non-Scientific and Related Issues of Admissibility of Testimony by Rehabilitation Consultants**. E and F Publishing. September 2002. Athens GA.

Caragonne, Penelope, Ph.D. and Male, Robert, Ph.D. A Life Care Planner and Economist's Perspective on Catastrophic Injury: Developing and Valuing a Life Care Plan for Litigation, in: **The Catastrophic Injury Handbook: Understanding Vocational, Economic, Legal and Clinical Aspects of Complex Physical and Mental Trauma**. Editors: Kenneth N. Anchor, Ph.D., ABDA, James E. Shmerling, DHA and Jessica M. Anchor, MD. Kendall Hunt Publishing Company. December 2002. Dubuque, IA.

Caragonne, Penelope, Ph.D. An Overview of the Field of Life Care Planning, in: **Measuring Loss in Catastrophic Injury Cases**, Lawyers and Judges Publishing Company, Tucson, AZ. 2004.

Caragonne, Penelope, Ph.D. An Analysis of Four Educational Venues for Life Care Planning Certification, in: **The Earnings Analyst**. Dallas, TX. May 2005.

Caragonne, Penelope, Ph.D. Using a Data-Based Approach in Life Care Planning in a "Paperless Office." in **Determining Economic Damages**. Gerald Martin, Ph.D., Editor. James Publishing Company, Santa Ana, CA. 2010 Edition.

Caragonne, Penelope. Automated Assessment Protocol, Case Management Support Needs. A Protocol for Use by Life Care Planners. **Journal of Nurse Life Care Planning**. Salt Lake City, UT. Volume X:No. 3. Pages 237 - 243. Fall 2010 Edition.

Sofka, Keith and Caragonne, Penelope. Assistive Technology for the One-Handed, **Journal of Nurse Life Care Planning**, Salt Lake City, UT. XII.1, March 2012, p.572 ff.

## **PEER REVIEW**

National Institute of Mental Health, Bethesda, MD. 1982-1984. Department of Education, National Institute for Disability Rehabilitation and Research, Washington, DC. 1988 -1995.

Department of Health and Human Services, Office of Human Development Services, Office of Program Planning and Evaluation, Washington, DC. 1984 - Present.

U.S. Department of Education, Rehabilitation Services Administration, Washington, D.C., Peer Reviewer for Field Based Initiatives, Adapted Recreational Projects. April 1994 - April 1995.

International Case Management Association, Peer Review Expert Panel Participant, National Panel, Generic and Clinically Specific Guidelines for Case Managers. May 1994 - May 1995.

## **NATIONAL AND REGIONAL PROFESSIONAL AFFILIATIONS**

American Rehabilitation Economics Association, 2000 - 2008, 2010. Vice-President, May 2001 - 2003. Responsible for planning and implementation of AREA Annual 2002 and 2003 National Conferences. Book Review Editor and Peer Review Panel, The Earnings Analyst.

International Association of Rehabilitation Professionals. 2000, 2007 - 2014. Member, 2008 Forensic Conference Planning Committee.

2011 Annual Conference. American Association of Nurse Life Care Planners, Member, 2011 Conference and Program Planning Committee. 2010 - Present.

American Association of Nurse Life Care Planners. Sandy, UT. 2010 - Present.

National Association of Social Work, Washington, DC. 2012 - Present.

International Commission on Health Care Certification. Member, ICHCC Certified Life Care Planners' Test Committee, 2012-2014. Virginia Beach, VA. June 2 - 4, 2012.

## **VOLUNTEER AND COMMUNITY SERVICE**

Absentee Voter Registration and Absentee Voting Project, US Presidential Election, Ajijic, MX. September - November, 2008.

Vote From Abroad Project, US Presidential Election, Ajijic, MX. April 2012 - September 2012.

Lake Chapala Society, Ajijic, Jalisco, MX. 1992 - Present. Community Relations Committee. 2013 to Present.

Delegado Advisory Committee, Ajijic, Jalisco, MX. 2013 - Present.